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I hereby certify that this STATEMENT is being deposited with the U.S. Postal Service, with sufficient postage, as first class mail in an envelope addressed to the U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, Virginia 22202, on this 2nd day of December, 2002.

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Wendy A. Frick

Signed: \_\_\_\_\_

*Wendy A. Frick*

*12/18/02*

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of )  
Kaumaya, et al. )

Examiner: Hunt, J.

Serial No.: 09/632,036 )

Group Art Unit: 1642

Filed: August 3, 2000 )

For: **POLYPEPTIDES AND** )  
**POLYNUCLEOTIDES FOR** )  
**ENHANCING IMMUNE REACTIVITY** )  
**TO HER-2 PROTEIN** )

Attorney Docket No.: 18525/04011

U.S. Patent and Trademark Office  
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STATEMENT REGARDING SEQUENCE LISTING

Dear Sir:

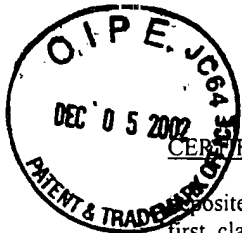
In response to the Office communication mailed on October 2, 2002, Applicant submits herewith a paper copy of a Sequence Listing as required by 37 C.F.R. 1.821(c), and a copy of the same Sequence Listing in computer readable form as required by 37 C.F.R. 1.821(e). The content of the paper copy and the computer readable copy of the Sequence Listing are the same. The sequences in the Sequence Listing are the same as the sequences in the text and the figures of the application as filed. Accordingly, the Sequence Listing adds no new matter.

Applicants respectfully request entry of the Sequence Listing into the case.

Respectfully submitted,

Dated: December 2, 2002

Pamela A. Docherty  
Pamela A. Docherty, Reg. No. 40,561  
(216) 622-8416



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**TRANSMITTAL OF STATEMENT REGARDING SEQUENCE LISTING**

Sir:

Transmitted herewith are the following:

1. Statement Regarding Sequence Listing;
2. Paper Copy of Sequence Listing;
3. A Computer Readable Form (CRF) of the Sequence Listing; and
4. A Return Receipt Postcard.

It is believed that no further fee is required relating to the filing of this Statement. If this is not the case, the Patent Office is hereby authorized to charge any related fee to Deposit Account No. 03-0172. A duplicate copy of this sheet is attached.

Respectfully submitted,

Date: \_\_\_\_\_

*December 2, 2002*

By: \_\_\_\_\_

*Pamela A. Docherty*

Pamela A. Docherty, Reg. No. 40,591  
(216) 622-8416